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1 *Type of the Paper (Article)*

# 2 **Manual Cultivation Operations in Poplar Stands: A** 3 **Characterization of Job Difficulty and Risks of** 4 **Health Impairment**

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15 **Abstract:** Short rotation poplar forests are a viable alternative in producing high quality wood for  
16 industrial applications. Their success depends on timely and high-quality implementation of a series  
17 of operations. Weed control operations are implemented to favor the trees in their competition for  
18 soil resources, and cultivation is an option typically used in many European countries. For the  
19 moment, a complete mechanization of such operations is virtually impossible, and they still require  
20 an intensive use of manual labor. Since information on work difficulty and risks in manual  
21 cultivation operations is limited, this study aimed to characterize this job. Evaluation was made in  
22 terms of work efficiency, cardiovascular workload, work intensity and postural risks by  
23 implementing a time and motion study combined with heart rate measurements, accelerometry and  
24 whole-body postural analysis. Work efficiency was particularly low even if the share of effective  
25 work time was high (70% of the observation time). Job was characterized as moderate to high  
26 intensity, which resulted into a moderate to high cardiovascular strain. While the postural analysis  
27 indicated rather small risks, the main problem was found for the back postures assumed during the  
28 work. Improvements should aim to extend mechanization, train the workers and appropriately  
29 design rest breaks.

30 **Keywords:** manual cultivation; job characterization; ergonomics; efficiency; cardiovascular  
31 workload; work intensity; risk of musculoskeletal disorders

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## 34 **1. Introduction**

35 Short rotation cultivated forests are considered to be a valuable alternative for wood  
36 provisioning, enabling the possibility to reduce the anthropogenic disturbance on natural forests and  
37 to conserve them. To enable a timely provisioning of wood to industry, such forests need to be  
38 cultivated using fast-growing trees able to provide high-quality wood. Among the existing fast-  
39 growing species, poplars are intensively used in many countries for such outcomes [1]. Their  
40 cultivation supposes a sequence of operations including fertilization, irrigation and weed control [2],  
41 with the last one aiming to balance the competition for soil resources and being carried out, in many  
42 regions, by herbicide application, cultivation or a combination of the two [1]. Some of these practices  
43 (i.e., in Romania) are used even in regular poplar forests that could be easily assimilated to short  
44 rotation cultures due to the propagation techniques and geometrical plantation schemes that are

45 used, types of implemented operations and rotation length. In such conditions, the typical way of  
46 carrying on the weed control is by cultivation.

47 The level of mechanization in forest operations depends on many factors such as the forest type,  
48 wood species, management methods, terrain and climatic conditions [3], with many of the Eastern  
49 European countries using operational systems that are partly mechanized [4]. This is particularly the  
50 case of forest establishment [5] and cultivation operations [6] that are still requiring manual labor to  
51 a great extent. In addition, many of the forest work places are characterized by difficult operational  
52 conditions and the work in forest itself is seen to be amongst the most difficult and hazardous jobs  
53 [7]. Since many of forest operations still require manual work, their engineering and management  
54 requires, at least in a first stage, their understanding in terms of difficulty and hazards. Based on such  
55 knowledge, work (re)design may be employed to ensure that work tasks are aligned to human  
56 capability, by measures designed to prevent adverse health effects [8] that should be further related  
57 to several key areas of the general work system such as the risk assessment, accountability awareness,  
58 physical and mental workload, quality of work environment and work technology [9].

59 Manual cultivation operations received less attention by ergonomic assessment of forest  
60 operations which is dominated by research on harvesting operations [10]. As a fact, only one study  
61 [11] was found in the available literature dealing with similar problems; it concluded that manual  
62 weed control is a highly demanding job from physiological point of view, exposing the workers to  
63 increased cardiovascular workloads [11]. In the Romanian practice, manual cultivation operations,  
64 are typically coupled with mechanized ones, in a double-pass system in which the mechanized  
65 equipment is operating on the space available between the rows of trees in such a manner that enables  
66 the protection of aerial tree parts; the rest of area is approached by workers equipped with hoes [6].

67 Given the limited information availability on the difficulty and risks of such jobs, the main aim  
68 of this study was to document and characterize manual cultivation operations from an ergonomic  
69 point of view. Since the ergonomics covers many key sub-disciplines, it was virtually impossible to  
70 approach all the inter-relations between the workers, their job tasks and the operational environment.  
71 For this reason, this study focused on characterizing the work performance by a typical time and  
72 motion study, describing the physiological workload in terms of cardiovascular activity, evaluating  
73 the intensity of work by body movement benchmarking techniques and assessing the risks of  
74 musculoskeletal disorders by a postural assessment method.

## 75 2. Materials and Methods

### 76 2.1. Study Locations, Forest Condition and Study Subjects

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78 Three study locations (Table 1) were chosen in the southeastern part of Romania, close to the  
79 Danube river, in the forests managed by three forest districts. The first study location (L1) was  
80 selected in the Management Unit II Ciuperceni, compartment no. 88D managed by Forest District of  
81 Calafat where the observations were carried out in two days: 13<sup>rd</sup> and 22<sup>nd</sup> of June 2018. The second  
82 location (L2) was selected from the forests managed by Forest District of Segarcea (Management Unit  
83 I, compartment no. 6C) and the third location (L3) was selected from the forests managed by Forest  
84 District of Poiana Mare (Management Unit IV Rast, compartment no. 70A). In L2, field observations  
85 were carried out in 18<sup>th</sup> of June 2018, while in L3 they were extended on 3 days (19<sup>th</sup> to 21<sup>st</sup> of June  
86 2018). Location selection in the field was based on criteria such as the current practices used to  
87 establish the forests, job availability in given areas and the dimensional variability of weed to be  
88 removed by manual cultivation.

89 In all of the selected locations, hybrid poplar (*Populus x euroamericana* (Dode) Guinier) [12] forests  
90 were established by artificial regeneration (plantation). The forest in L1 was established in 2013 by a  
91 4 × 4 m plantation scheme, while the forests from L2 and L3 were established in 2015 and 2017  
92 respectively, using a 5 × 4 m plantation scheme. Both, plantation and cultivation operations of poplar  
93 forests in the area are carried out using locally available workers who are quite experienced in such  
94 operations given their background in similar agricultural practices. A number of 14 workers

95 (hereafter subjects) were selected from the local population based on their verbal consent to  
 96 participate in the study. The goal of the study, the intended use of data as well as the procedures  
 97 required by the study were detailly explained to each of them in advance and they were instructed  
 98 to carry on their jobs as they are used to. Given the limited availability of monitoring devices (3 sets  
 99 of devices), from these workers, three subjects were randomly sampled each day and for each location  
 100 for a detailed monitoring of work.

101 **Table 1.** Locations taken into study, study dates and weather condition during the study.

Forest District	Geographical location	Forest compartment and area (ha)	Observation day	Weather condition during the study	Weed height (m)	Abbreviation used in this study
Calafat	43°58'31.27"N 22°54'04.42"E	88D 0.76	13 <sup>rd</sup> of June	T <sup>1</sup> =25.9°C RH <sup>2</sup> =69.75%	0.7	L1×13
Segarcea	43°47'59.81"N 23°36'01.88"E	6C 2.00	18 <sup>th</sup> of June	T <sup>1</sup> =22.0°C RH <sup>2</sup> =76.75%	1.3	L2×18
Poiana Mare	43°50'50.12"N 23°14'17.45"E	70A 2.92	19 <sup>th</sup> of June	T <sup>1</sup> =23.4°C RH <sup>2</sup> =71.85%	0.5	L3×19
Poiana Mare	43°50'50.12"N 23°14'17.45"E	70A 2.92	20 <sup>th</sup> of June	T <sup>1</sup> =23.6°C RH <sup>2</sup> =69.33%	0.5	L3×20
Poiana Mare	43°50'50.12"N 23°14'17.45"E	70A 2.92	21 <sup>st</sup> of June	T <sup>1</sup> =23.8°C RH <sup>2</sup> =75.83%	0.5	L3×21
Calafat	43°58'31.27"N 22°54'04.42"E	88D 0.76	22 <sup>nd</sup> of June	T <sup>1</sup> =19.8°C RH <sup>2</sup> =85.00%	0.7	L1×22

102 <sup>1</sup> T - air temperature. <sup>2</sup> RH - air relative humidity.

103 **Table 2.** Characteristics of the study group.

Subject	Abbreviation in this study	Age (years)	Body weight (kg)	Body height (cm)	Body Mass Index
Subject 1	S1	36	100	186	28.91
Subject 2	S2	31	105	175	34.29
Subject 3	S3	40	110	180	33.95
Subject 4	S4	50	100	180	30.86
Subject 5	S5	47	71	176	22.92 <sup>1</sup>
Subject 6	S6	40	70	165	25.71
Subject 7	S7	18	70	169	24.51 <sup>1</sup>
Subject 8	S8	49	70	175	22.86 <sup>1</sup>
Subject 9	S9	57	85	170	29.41
Subject 10	S10	50	68	165	24.98 <sup>1</sup>
Subject 11	S11	67	67	170	23.18 <sup>1</sup>
Subject 12	S12	62	75	179	24.41 <sup>1</sup>
Subject 13	S13	45	70	173	23.39 <sup>1</sup>
Subject 14	S14	57	102	180	30.79

104 <sup>1</sup> Denotes normal weight according to Body Mass Index.

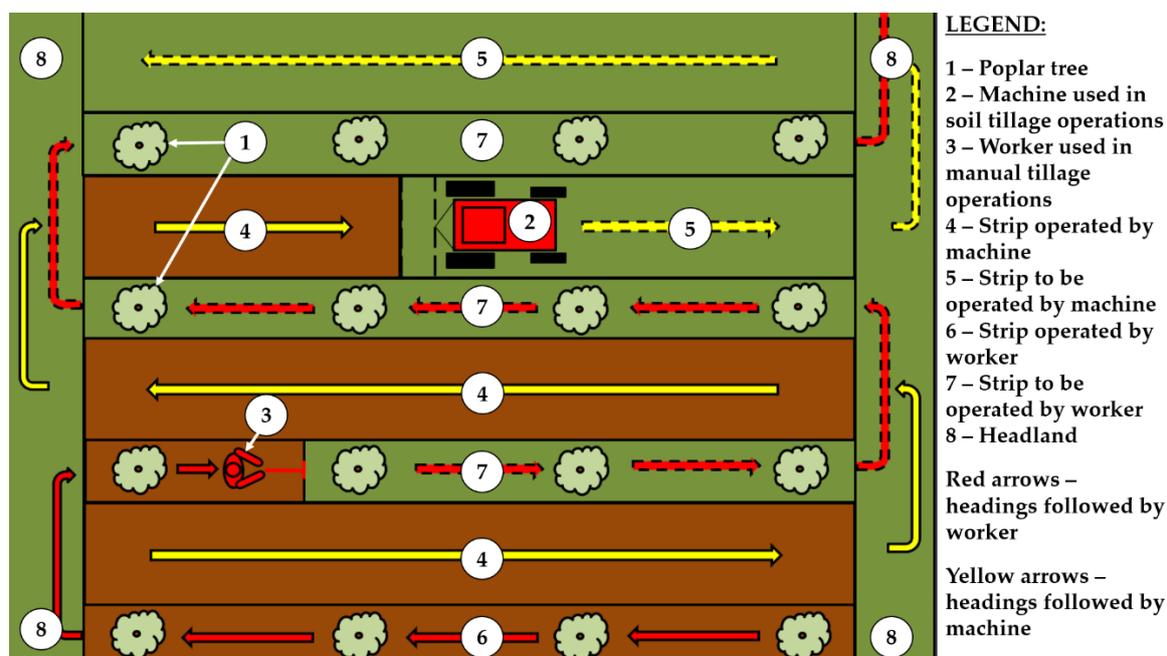
105 The sample of workers taken into study was characterized by an age of 46.4±14.0 years, a body  
 106 weight of 82.94±15.43 kilograms and a height of 174.5±5.9 cm (Table 2), being representative for the  
 107 population of workers from the study area which, in many cases is quite aged.

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## 110 2.2. Work Layout

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In the Romanian practice related to hybrid poplar forests, cultivation operations are typically implemented using a two-pass operational system. In a first step, machines such as tractors equipped with mowers, ploughs or harrows are used for cultivation operations on a single direction of the operated plots to till the soil and to remove the weed between rows (Figure 1). The remaining strips which contain the trees are manually operated in a second pass, by teams of manual workers using hoes. In this operational configuration, the local practice makes use of mechanization for approximately 80% of the area while the rest is operated by manual means [13].



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**Figure 1.** Operational layout (concept) used for cultivation operations in the area taken into study.

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Nevertheless, depending on the plantation scheme and spaces existing between the tree rows, on one hand, and on the width of equipment attached to tractors, on the other hand, some cases require more than one inter-row tractor pass. It was the case of this study, where the inter-row area was covered by more than one mechanized pass, following that, on each tree row, the area to be operated by manual means to account for approximately one meter in width.

For the manual operations, which made the scope of this study, the work organization was rather simple: each worker entered one row at the headland, operated the row and reentered a new row at the opposite headland. Therefore, the work was divided for further analysis based on the tasks observed in the field such as the effective work (EW) consisting of manual cultivation, rest pauses (RP) consisting of all the breaks taken by subjects in the field to rest, meal pauses (MP) and delays (D) which included the delays caused by the study itself and some minor technical delays. During the study, the sky was partly clouded and the air temperature (Table 1) was considered to be low enough to exclude the thermal stress effects on the subjects [e.g. 14], given the fact that the locations were partly shaded by the surrounding mature forests.

### 136 2.3. Data Collection Procedures

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In each study location and for each day, the operations were monitored by video recording using a digital camera placed on a tripod at the closest headland and set to continuously record video files of 20 minutes in length each. The camera was placed in such a manner that enabled the best field of view on the collected files and covered all the three workers monitored in a given day. As the work progressed on the rows, the location of the camera was changed accordingly to be able to keep the

143 needed details visible in its field of view. Data collected this way was used to document the observed  
 144 work tasks, to extract the time consumption on tasks and to evaluate the cardiovascular workload  
 145 and the risks of musculoskeletal disorders by a postural analysis implemented in the office phase of  
 146 the study. The height and the width of the weed stratum was visually evaluated and noted into a  
 147 field book along with the main anthropometric characteristics of the observed subjects such as the  
 148 age (A, years), body weight (W, kilograms) and height (H, centimeters), with the last two being used  
 149 to compute the body mass index (BMI, Table 2) using its specific formula.

150 Polar ® V800 (Polar, Finland) dataloggers including their H7 heart monitoring sensors mounted  
 151 on straps were used to monitor the subjects' cardiovascular activity during the undertaken tasks in  
 152 terms of heart rate (HR, beats per minute). Procedures used to estimate the heart rate at rest (HRr,  
 153 beats per minute), setup, collect, download and pre-process the data including that referring to  
 154 location, were similar to those described in [15]. Data needed to evaluate the intensity of work (WI)  
 155 was collected by the means of new factory-calibrated tri-axial accelerometers - Extech ® VB300  
 156 (Extech Instruments, FLIR Commercial Systems Inc., Nashua, USA) attached to the pericardial strap  
 157 of the heart rate datalogger. The devices were placed on the back of each subject in between scapulae,  
 158 as close as possible to the middle of spine's thoracic vertebrae section. The choice of this body part  
 159 was based on the assumption that most of the changes in the acceleration signal, therefore changes  
 160 in work intensity, will be caused by movements of the subjects' back, given the characteristics of  
 161 monitored operations. Procedures used to setup, collect, download and pre-process the raw  
 162 acceleration data were similar to those described in [16]. Both dataloggers were setup to collect  
 163 samples at one second rate.

164 The main weather characteristics during the study (air temperature - T, °C and relative humidity  
 165 - RH, %) were documented as average values for the study periods specific to each observation day.  
 166 This data was procured from the closest weather station (Name, Altitude asl, Cardinal Direction,  
 167 Distance).

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#### 169 2.4. Data Processing Procedures

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171 Data processing procedures consisted of several steps that were required to obtain the initial  
 172 databases needed for statistical analysis. Video data was downloaded from digital cameras at the end  
 173 of each observation day. An initial processing task consisted of a detailed time and motion study that  
 174 was framed around the concepts used in forest operations [17-18] and which supposed the analysis  
 175 of video files in their real sequence, followed by data extraction into a Microsoft Excel (Microsoft  
 176 Excel 2013, Redmond, Washington, USA) sheet per time consumption categories, subjects and tasks.  
 177 To this end, the unit of production (P) in this study was considered to be the manually operated area  
 178 of one hectare, while the time consumption ( $t_{EW}$ ,  $t_{RP}$ ,  $t_{MP}$  and  $t_D$ , seconds) was assumed to belong to  
 179 the previously identified tasks (EW, RP, MP, D). Given the specificity of this study, only the efficiency  
 180 metrics were computed (GWER - gross work efficiency rate and NWER - net work efficiency rate,  
 181 hours/hectare) after time conversion from seconds to hours. The supporting calculation relations are  
 182 given in Equations 1-5.

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$$GWT_i \text{ (hours)} = t_{EW_i} \text{ (hours)} + t_{RP_i} \text{ (hours)} + t_{MP_i} \text{ (hours)} + t_{D_i} \text{ (hours)}, \quad (1)$$

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$$NWT_i \text{ (hours)} = t_{EW_i} \text{ (hours)} + t_{RP_i} \text{ (hours)}, \quad (2)$$

185

$$GWER_i \text{ (hours/ha)} = GWT_i \text{ (hours)} / P_i \text{ (ha)}, \quad (3)$$

186

$$NWER_i \text{ (hours/ha)} = NWT_i \text{ (hours)} / P_i \text{ (ha)}, \quad (4)$$

187

$$P_i \text{ (ha)} = ARW \text{ (m)} \times TRL_i \text{ (m)} / 10,000, \quad (5)$$

188

189 Where:  $i$  stands for a given monitored subject,  $GWT_i$  - gross time of subject  $i$ ,  $t_{EWi}$  - effective work  
 190 time of subject  $i$ ,  $t_{RPi}$  - rest pauses time of subject  $i$ ,  $t_{MPi}$  - meal pause time of subject  $i$ ,  $t_{Di}$  - delay time  
 191 of subject  $i$ ,  $NWT_i$  - net time of subject  $i$ ,  $GWER_i$  - gross work efficiency rate of subject  $i$ ,  $P_i$  - production  
 192 of subject  $i$ ,  $NWER_i$  - net work efficiency rate to subject  $i$ ,  $ARW$  - average row width based on field  
 193 observation (1 m),  $TRL_i$  - total row length operated by subject  $i$ .

194 Cardiovascular workload of each subject was evaluated at task, day and location level using the  
 195 heart rate reserve (%HRR) metric as defined, for instance, in [19]. Acknowledging the usefulness of  
 196 several other metrics in evaluating the physiological workload in terms of heart activity, the choice  
 197 of %HRR was based on the limited applicability of average heart rate per tasks to different age groups  
 198 [20], as well as on the fact that it is expected to be a good predictor of workload only in the range of  
 199 100 to 140 beats per minute [14]. Since it was virtually impossible to test the subjects by a  
 200 preestablished protocol aiming to determine their maximum heart rate (HRmax), the formula  $HR_{max} = 220 - \text{age (years)}$   
 201 was used to estimate this metric [19]. Procedurally, for each heart rate sample  
 202 collected in the field, codes were used to document the belonging of each 1-second observation to a  
 203 given task using as a reference the time stamps from heart rate samples and video files respectively.

204 Tri-axial raw acceleration data was processed in a different way. Assuming that for the same  
 205 task the intensity of work could vary in a given range, this data was not further documented by codes.  
 206 Instead, the vector magnitudes for each 1-second observation (Equation 6) were further processed to  
 207 exclude the gravity component from the signal using the Euclidian Norm Minus One (ENMO,  $g$ )  
 208 metric (Equation 7) [21]; then, the resulting, otherwise few and small negative values were converted  
 209 to zero by a logical function of Microsoft Excel.

210

$$vm_j \text{ (g)} = \sqrt{x_j^2 + y_j^2 + z_j^2}, \quad (5)$$

211

$$ENMO_j \text{ (g)} = vm_j \text{ (g)} - 1, \quad (6)$$

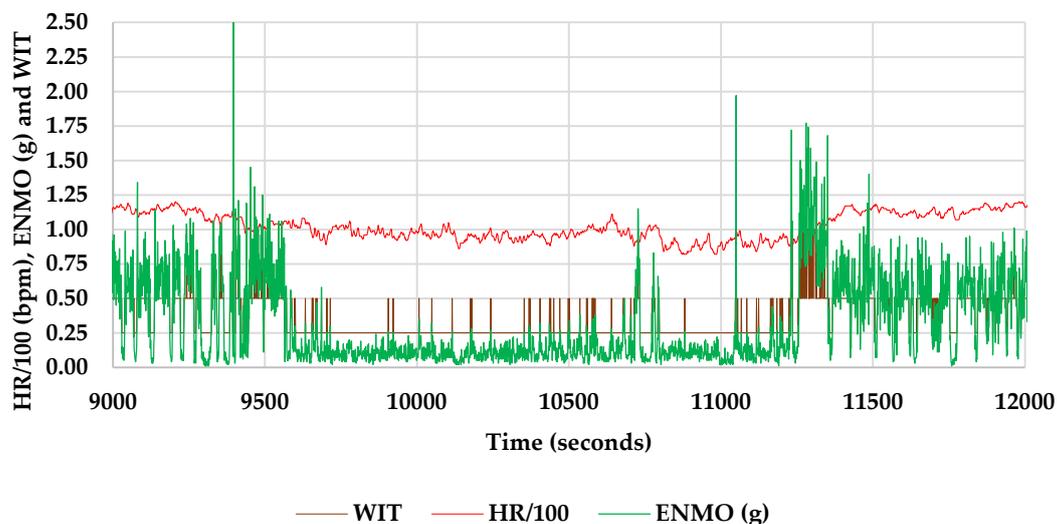
212

213 Where:  $j$  stands for a given observation,  $vm_j$  - vector magnitude for observation  $j$ ,  $x_j$  - raw  
 214 response on axis  $x$  for observation  $j$ ,  $y_j$  - raw response on axis  $y$  for observation  $j$ ,  $z_j$  - raw response on  
 215 axis  $z$  for observation  $j$ ,  $ENMO_j$  - Euclidian Norm Minus One of observation  $j$ .

216 Two work intensity thresholds (WIT) were designed based on the literature documentation to  
 217 separate the time spent in different work intensities. An ENMO value of less than 0.25  $g$  was used to  
 218 separate the light intensity work (LIW) and a value of more than 1  $g$  was used to separate the high  
 219 intensity work (HIW) from the datasets collected for each subject. These assumptions were based on  
 220 the work of [22-23]. Observations falling in the range of 0.25-1.00  $g$  were categorized as moderate  
 221 intensity work (MIW). Separation and categorization were implemented by simple logical functions  
 222 applied to the corrected ENMO data in Microsoft Excel (Figure 2).

223 Risks of musculoskeletal disorders (MSD) were evaluated for each subject, work day and  
 224 location by the means of Ovako Working posture Analysis System (OWAS) as introduced by Karhu  
 225 et al. [24], then detailed [e.g. 14] and discussed for its applicability in forest operations [7]. The choice  
 226 of this postural analysis method was based on its history in use in forest operations [15, 25-26]  
 227 capability to analyze the whole body [24, 27], simplicity in use [7, 24], and possibility to compare the  
 228 results [e.g. 28] including comparisons to those coming from other industries. To this end, each video  
 229 file collected in the field was broken in frames extracted at 1-second rate. Then, random numbers

230 produced by simple functions in Microsoft Excel were used to extract exactly 100 frames from each  
 231 video file and for each worker and location of study (Table 3).  
 232



233 **Figure 2.** Concept used to separate time epochs for light intensity work (LIW), moderate intensity  
 234 work (MIW) and high intensity work (HIW). Legend: WIT - work intensity threshold (0.00 to 0.25 for  
 235 LIW, 0.25 to 1.00 for MIW and more than 1.00 for HIW), HR/100 - heart rate divided by 100 (only for  
 236 concept demonstration), ENMO - Euclidian Norm Minus One corrected for negative values.

237 **Table 3.** Number of analyzed video files and frames.

Location and observation day	Number of collected video files	Number of frames extracted for postural analysis of each worker	Number of analyzed frames	Number of valid frames
L2×18	13	1300	3900	1433
L3×19	18	1800	5400	2918
L3×20	16	1600	4800	3643
L3×21	17	1700	5100	2616
L1×13	8	800	2400	1657
L1×22	7	700	2100	946
<b>Overall</b>	<b>79</b>	<b>7900</b>	<b>23700</b>	<b>13213</b>

238 This approach resulted in the analysis of 23700 still images. Those images failing to give in their  
 239 field of view all the information needed to analyze the whole-body posture of a given subject were  
 240 considered to be non-valid. Approximately 56% (13123) of the initial frames were retained as valid  
 241 and used in statistical analysis (Table 3). Postural analysis was implemented as a detailed analysis of  
 242 back, arms and legs according to the OWAS method, followed by data coding into Microsoft Excel  
 243 sheets. Since the force exertion was difficult to evaluate, this component was assumed to be less than  
 244 10 kg for each frame, based on the subjective evaluation of researchers that carried out this data  
 245 processing task. Nevertheless, this approach was consistent with the type and weight of the tools  
 246 used during the work. Each frame was documented by coding the task to which it belonged, a fact  
 247 that supposed in some cases some revisions of video files. A Visual Basic for Applications (VBA)  
 248 logical code was designed to attribute action category (AC) codes for each valid frame. Then, for each  
 249 worker, day and location, a postural risk index (PRI) was calculated based on the approach described  
 250 in [26, 28]. As an aggregated metric, PRI was used to judge the exposure to risks of developing  
 251 musculoskeletal disorders.  
 252

253 To enable the characterization of work, data on time consumption, work efficiency,  
254 cardiovascular workload, work intensity and postural analysis was aggregated at study level  
255 following the statistical analysis.  
256

## 257 2.5. Statistical Analysis

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259 Right at the beginning of statistical analysis it was evident that the aggregated data coming from  
260 each subject working in a given day and location was quite heterogeneous. For this reason, no  
261 comparison tests were undertaken to check if there are any differences in terms of work performance  
262 outcomes and input resources between subjects, work days and locations. Instead, the statistical  
263 techniques used were aligned to the goal of this study that was to characterize the manual cultivation  
264 operations as a job. Obviously, this approach needs to include the variability produced by different  
265 types of factors [18] such as that given by changes in anthropometric features and human capability,  
266 tools used and operational environment conditions. For that, descriptive statistics specific to central  
267 tendency were computed and reported. Operational performance in terms of time consumption and  
268 efficiency was reported as total time, time shares per work tasks and efficiency rates. Mean values of  
269 heart rate reserve were used to characterize the cardiovascular workload per tasks and at the study  
270 level while the share of time spent per categories of work intensity was used for similar  
271 characterizations. Postural data was computed as shares per action categories at subject and study  
272 level, then this data was used to compute the postural risk indexes at subject and study level. Then,  
273 a more detailed analysis of back, arms and legs postures was implemented to see what approaches  
274 should be taken for work redesign and improvement. To this end, shares of back, arms and legs  
275 postures per specific codes were analyzed for all the data taken into study.  
276

## 277 3. Results

### 278 3.1. Estimates on Time Consumption per Tasks and Operational Performance Metrics

279 Table 4 shows a breakdown of time consumption and efficiency rates per subjects, days of  
280 observations and locations. At study level, field observations were carried out for roughly 85 hours.  
281 In average, almost 70% of that time was spent as effective work time and approximately 22% was  
282 used as rest time. Having meals accounted for approximately 9% of the study time but it was not  
283 specific to all the subjects and all the study locations. Other delays, including those caused by the  
284 study itself were only minor in the time consumption structure, accounting for less than 1%.

285 Given the overall distribution of time consumption, net work efficiency rate was estimated at  
286 34.31 hours per hectare which was close to gross work efficiency rate (36.35 hours per hectare). Since  
287 these figures apply to the effective operated area, under real circumstances in which approximately  
288 75-80% of the area is mechanically operated, they will translate into average gross and net efficiency  
289 rates in the range of 9.09 to 8.58 hours per hectare respectively.

290 At subject, observation day and location level, on the other hand, time consumption and  
291 efficiency rates figures were rater heterogeneous. The effective work time, for instance, accounted for  
292 45.20 to 83.89% of the observed time, while the rest time varied widely between 13.04 and 54.80%. In  
293 general, meal pauses were taken only in those situations in which the total observation time exceeded  
294 four hours. Accordingly, the net efficiency rates varied between 14.98 and 69.15 hours per hectare  
295 while the gross work efficiency rates varied between 16.92 and 62.29 hours per hectare.

296 Given the fact that operational conditions in the three locations were quite different, one could  
297 have been expected to find some differences related to that. However, expectations were not entirely  
298 met as, for instance, the work performance in L2 was, in average, higher compared to L1, while the  
299 height of the weed was lower in the latter. In this last case, however, the subjects taken into study

300 were characterized by the greatest ages of the sample taken into study (over 45-year-old, most of  
 301 them over 50).  
 302

303 **Table 4.** Statistics of time consumption and estimates of work performance

Subject, location and observation day	Observation time (hours)	Effective work time (%)	Rest time (%)	Meal pause time (%)	Delays (%)	Net work efficiency rate (hours/ha)	Gross work efficiency rate (hours/ha)
S1×L2×18	4.8	61.80	26.91	9.86	1.43	26.295	29.599
S2×L2×18	4.7	71.97	17.11	9.03	1.89	22.925	25.692
S3×L2×18	4.5	74.84	13.04	11.66	0.46	23.159	26.352
S4×L3×19	6.3	73.94	14.43	11.63	-	25.922	29.322
S5×L3×19	6.2	57.36	28.44	11.61	2.59	25.074	29.234
S6×L3×19	6.3	64.59	15.60	19.81	-	25.859	29.733
S7×L3×20	5.7	75.18	14.49	10.20	0.13	21.695	24.163
S8×L3×20	5.6	73.48	14.30	12.22	-	22.220	24.875
S9×L3×20	5.5	74.44	14.60	10.96	-	22.044	24.754
S9×L3×21	5.8	70.47	19.83	9.56	0.14	15.250	16.920
S4×L3×21	5.8	52.77	37.18	9.92	0.13	14.981	17.497
S7×L3×21	5.7	83.60	16.40	-	-	16.013	17.739
S10×L1×13	3.4	73.85	24.65	-	1.50	69.148	69.711
S11×L1×13	3.3	71.86	27.72	-	0.42	59.298	59.298
S12×L1×13	3.4	77.54	22.46	-	-	59.438	59.438
S13×L1×22	2.6	51.64	46.44	-	1.92	61.104	62.289
S12×L1×22	2.5	83.89	15.58	-	0.53	50.118	50.699
S14×L1×22	2.5	45.20	54.80	-	-	57.046	57.046
<b>Overall</b>	<b>84.6</b>	<b>68.33</b>	<b>22.34</b>	<b>8.69</b>	<b>0.64</b>	<b>34.310</b>	<b>36.353</b>

304  
 305 When comparing the work performance between L2 and L3, one could find that, in average, it  
 306 was higher in L3, probably due to the better operational conditions but, in general, the work  
 307 performance was correlated and related to the subject's age ( $R=0.5$ ,  $R^2 = 0.26$ ,  $\alpha = 0.05$ ,  $p<0.05$ ).  
 308

### 309 3.2. Cardiovascular Workload

310 In average, the heart rate of the observed subjects varied between 95 (S6) and 126 (S14) beats per  
 311 minute (Table 5). From this point of view, it seems that S14, in particular, experienced a very heavy  
 312 work. This may be supported by the greatest share of time spent in rest pauses (Table 4) and by the  
 313 increased overall heart rate (Table 5).

314 At the studied sample level, manual cultivation operation seems to be rather a heavy job, taking  
 315 almost 37% of the heart rate reserve. Rest pauses have not led to a full recovery and to a normal  
 316 cardiovascular activity (%HRR = 33.6) which is likely not to be reached also during the meal pauses  
 317 (%HRR = 21.42). Overall, the heart rate reserve was particularly high (%HRR = 35.2) at the studied  
 318 sample level.

319 At subject, work day and location level, there was a certain variability in terms of average heart  
 320 rate, heart rate at rest and heart rate reserve per tasks and per days of observation. Even for the same

321 subject, the average heart rate varied from day to day and from one location to other. Heart rate  
 322 reserve during the effective work varied between 21.98 and 52.68%, and it was clearly correlated and  
 323 related to the age of the subjects ( $R=0.63$ ,  $R^2=0.40$ ,  $\alpha = 0.05$ ,  $p<0.05$ ). This was true also in the case of  
 324 the overall heart rate reserve ( $R=0.64$ ,  $R^2=0.40$ ,  $\alpha = 0.05$ ,  $p<0.05$ ) which was calculated by taking into  
 325 account all of the observation time.

326 In particular, subjects S1, S5, S8, and S10 to S14, accounting for almost 60% of the sample, were  
 327 those that spent the greatest effort in the observed operations during the effective work. For most of  
 328 the subjects the effort spent was probably related to their age and less related to the local operational  
 329 conditions.

330 **Table 5.** Statistics of cardiovascular activity

Subject, location and observation day	Average heart rate (bpm)	Heart rate at rest (bpm)	Heart rate reserve for effective work	Heart rate reserve for rest pauses	Heart rate reserve for meal pauses	Overall heart rate reserve
S1×L2×18	108	50	44.00	42.98	37.19	42.95
S2×L2×18	106	81	23.94	23.28	11.70	22.76
S3×L2×18	104	69	32.06	33.24	23.37	31.25
S4×L3×19	108	82	31.31	27.25	17.59	29.13
S5×L3×19	117	87	38.95	33.77	13.89	34.56
S6×L3×19	95	70	25.85	25.27	12.28	23.07
S7×L3×20	105	67	30.64	25.23	16.53	28.40
S8×L3×20	107	66	41.87	34.19	28.92	39.19
S9×L3×20	102	71	32.99	35.70	35.10	33.62
S9×L3×21	97	63	34.88	32.40	26.14	33.57
S4×L3×21	100	78	25.08	23.94	12.86	23.45
S7×L3×21	99	72	21.98	16.49	-	21.08
S10×L1×13	114	80	39.26	31.42	-	37.24
S11×L1×13	109	74	46.32	38.44	-	44.12
S12×L1×13	112	67	51.23	45.67	-	49.98
S13×L1×22	111	61	45.44	41.77	-	43.56
S12×L1×22	109	70	44.17	42.38	-	43.85
S14×L1×22	126	86	52.68	52.05	-	52.33
<b>Overall</b>	-	-	<b>36.81</b>	<b>33.64</b>	<b>21.42</b>	<b>35.23</b>

331 This was even more so evident as the air temperature of the last observational day was the closest  
 332 to the thermal comfort (Table 1), the operational conditions were averaged compared to the other two  
 333 locations (Table1), while the subjects working there were amongst the oldest in the studied sample  
 334 (Table 2).  
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### 337 3.3. Work Intensity

338 Tri-axial acceleration dataloggers performed well during the field observation excepting two  
 339 cases - S10 and S11 working in L1 (Table 6) - where they failed to collect data covering all the observed  
 340 time. For that reason, data coming from these dataloggers in case of L1 was excluded when  
 341 characterizing the work intensity at the sample level. Also, some minor differences between the total  
 342 observed time and the work intensity related survey time were unavoidable since the dataloggers  
 343 were placed on the workers after starting the camera for video recording. Nevertheless, these  
 344 differences were only minor.

345 Shares of time spent in the three work intensity categories is shown in Table 6. At the sample  
 346 level, roughly 61% of the time was categorized as moderate intensity work and almost 35% were  
 347 categorized as light intensity work.

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Table 6. Statistics of work intensity.

Subject, location and observation day	Work intensity survey time (hours)	Share of light intensity work (%)	Share of moderate intensity work (%)	Share of high intensity work (%)
S1×L2×18	4.7	38.96	55.93	5.11
S2×L2×18	4.7	30.45	61.80	7.74
S3×L2×18	4.5	33.25	59.71	7.05
S4×L3×19	6.2	31.19	64.38	4.43
S5×L3×19	6.2	54.01	43.76	2.22
S6×L3×19	6.3	37.89	58.76	3.34
S7×L3×20	5.7	33.00	62.43	4.57
S8×L3×20	5.6	36.29	57.03	6.68
S9×L3×20	5.5	23.64	74.05	2.31
S9×L3×21	5.8	23.73	74.69	1.58
S4×L3×21	5.8	44.44	53.43	2.12
S7×L3×21	5.7	31.28	60.08	8.64
S10×L1×13 <sup>1</sup>	2.7 <sup>1</sup>	15.80 <sup>1</sup>	81.61 <sup>1</sup>	2.59 <sup>1</sup>
S11×L1×13 <sup>1</sup>	2.3 <sup>1</sup>	96.24 <sup>1</sup>	0.74 <sup>1</sup>	3.02 <sup>1</sup>
S12×L1×13	3.3	18.61	71.67	9.72
S13×L1×22	2.6	40.93	54.87	4.20
S12×L1×22	2.5	20.57	75.06	4.37
S14×L1×22	2.5	50.88	48.37	0.75
<b>Overall<sup>2</sup></b>	<b>77.8<sup>2</sup></b>	<b>34.59<sup>2</sup></b>	<b>60.81<sup>2</sup></b>	<b>4.60<sup>2</sup></b>

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<sup>1</sup> Denotes data that has not been used in the characterization of work intensity. <sup>2</sup>Averages computed by exclusion of data from <sup>1</sup>.

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Nearly 5% represented the high intensity work. In this last category, the data was quite heterogeneous, with shares between 0.75 (S14) and 9.72 (S13 working in L1). The share of light intensity work varied between 18.61 (S12×L1×13) and 54.01% (S5×L3×19) while the share of moderate intensity work varied between 43.76 (S5×L3×19) and 75.06% (S12×L1×22).

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### 3.4. Postural Risk

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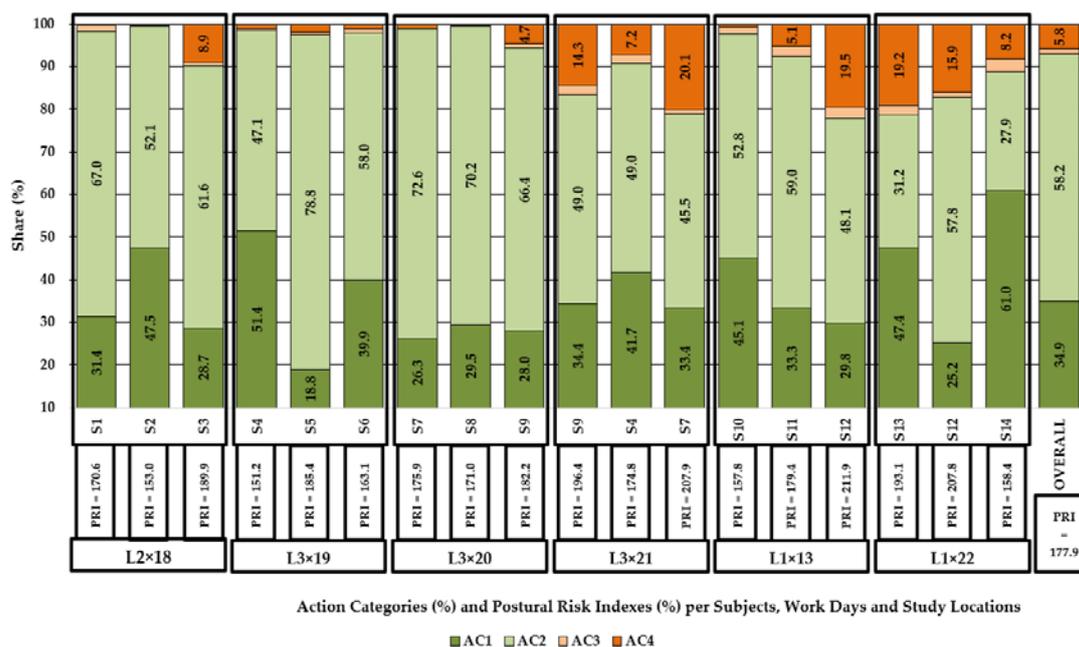
Figure 3 shows a breakdown per action categories and postural risk indexes estimated at subject, observation, location and sample level. At sample level, approximately 35% of the analyzed frames were included in the 1<sup>st</sup> action category, more than half of them were categorized in the 2<sup>nd</sup> action category and roughly 6% were interpreted as belonging to the 4<sup>th</sup> action category. The postural risk index characterizing the job was found to be of almost 178, indicating rather the categorization of this job in the second action category which requires corrective actions to be taken in the near future.

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At subject level, on the other hand, distribution on action categories and the computed postural risk indexes were quite variable. Frames attributed to the 1<sup>st</sup> action category accounted for shares of 18.8 to 61%, with the latter one characterizing the postural behavior of S14; frames attributed to the 2<sup>nd</sup> action category accounted for shares in the range of 27.9-78.8%, those specific to the 3<sup>rd</sup> action category accounted for minor shares and those belonging to the 4<sup>th</sup> category accounted for shares of up to 20.1%. The postural risk indexes varied in between 151.2 (S2×L2×18) and 211.9 (S12×L1×13).

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At the sample level (Table 7), back postures were found to be particularly uncomfortable, as in more than 55% of the cases, the subjects were found to have the back bent and twisted or bent forward and sideways. Straight postures of the back were found only in 26% of the cases. In general, the arm postures were found to be comfortable and this situation is related to the characteristics and tool use in this kind of job. Combined with poor postures of the back, legs postures coded by 4, 5 and 6 lead always to the worst postural situation which is characteristic to the fourth action category. It was not the case of the analyzed sample since these legs' postures accounted for only 7%.



376 **Figure 3.** Share of the analyzed frames per action categories and postural risk indexes estimated at  
 377 subject, location, observation day and sample level.

378 **Table 7.** Share of back, arms and legs postures per codes described by OWAS

Code	Share of Back Postures (%)	Share of Arms Postures (%)	Share of Legs Postures (%)
1	26.04	99.68	4.59
2	7.77	0.31	56.60
3	9.65	0.01	29.82
4	56.54	NA <sup>1</sup>	3.71
5	NA <sup>1</sup>	NA <sup>1</sup>	3.48
6	NA <sup>1</sup>	NA <sup>1</sup>	0.17
7	NA <sup>1</sup>	NA <sup>1</sup>	1.63

379 <sup>1</sup> Not applicable according to OWAS method.

380 Therefore, from the postural analysis point of view, the main problems related to potential risks  
 381 of health impairment were those specific to the back.

383 **4. Discussion**

384 The main aim of this work was to characterize the difficulty and risks associated to manual  
 385 cultivation operations in hybrid poplar forests. The first thing which needs to be addressed, even in  
 386 the conditions of a good utilization of available time for effective work (approximately 70%), is that  
 387 relating to a particular low efficiency of such operations which was in the range of 8.6 - 9.0 hours per  
 388 double-pass operated hectare. Indeed, there is limited information of operational performance  
 389 metrics for this kind of jobs. Nevertheless, for something similar, de Oliveira et al. [11] found an  
 390 efficiency rate of approximately 3.3 hours per hectare which took 52% of the heart rate reserve during  
 391 the effective work. The Romanian forestry-related rating system [29], on the other hand, indicates for  
 392 the same job operational efficiencies in the range of 1.42-4.90 man-hours per 100 m<sup>2</sup>, which will  
 393 probably ensure rest breaks-taking in a sustainable way. One way to improve the efficiency and to  
 394 balance the effort given by workers would be that of deploying inter-row mechanized cultivation  
 395 operations on two perpendicular directions since the plantation layouts would enable such an  
 396 approach. In particular, this could contribute to a reduction of manually operated area to  
 397 approximately one fourth compared to the current operational layouts.

398 In terms of physiological workload, worth mentioning that heart rate is a good estimator of the  
399  $\text{VO}_2$  indicator [30] that is commonly used to predict the work difficulty in general ergonomic studies  
400 [19-20]. Cardiovascular workload, as found in this study indicates that this type of operation tends  
401 to overload the workers and how the subjects experienced the workload was found to be related to  
402 their age. In average, the %HRR metric for the effective work was found to be very close to the  
403 threshold of 40% which, according to some authors [10], defines the limit between acceptable and  
404 unacceptable workloads. However, this outcome should be interpreted as indicative at least from two  
405 points of view. The first one refers to the impossibility to extend the findings to cohorts characterized  
406 by anthropometrics that are particularly contrasting to those which built the data from this study.  
407 The second one refers to the caution which should be used in the interpretation of data since the  
408 %HRR metric was based on the commonly accepted formula for estimating the maximum heart rate,  
409 which has its own limitations [31]. Also, an ambulatory trial found heart rates at rest lower when self-  
410 measured at home compared to those measured under expert observation [32]. Obviously, such an  
411 effect will probably lead to an underestimation of job's difficulty. Most probably, an increased  
412 cardiovascular activity, as found in this study, is related to the type of work, work intensity and the  
413 body parts engaged in such work since the job tasks took a great deal of using handwork which is  
414 known to affect the heart rate response and characterizes the severity of muscular work [19].  
415 Recovery time of heart rate is dependent on the exercise intensity and may reach more than 30  
416 minutes [33-34], even if most of the recovery changes may occur in the first 1-2 minutes [34], while  
417 the heart rate response may be sensitive to postural changes [35]. For instance, switching from lying  
418 to sitting positions was found to increase the heart rate in some subjects by approximately 10 beats  
419 per minute [36]. Therefore, it was not surprising to find that for most of the subjects observed in this  
420 study the heart rate reserve was particularly increased also during the rest pauses and during the  
421 meal taking.

422 It is difficult to place the manual cultivation operations, in terms of difficulty and risks, amongst  
423 other forestry jobs, given the fact that heart response is dependent on many factors such as the age of  
424 subjects and their operational environment. Nevertheless, in motor-manual felling, estimates from  
425 the same flat-land area and for a worker having an age close to the average of this study [15] were  
426 close to those found in this study. In steep terrain forests of Turkey, for instance, harvesting and forest  
427 nursery work was found to be difficult to moderate jobs with heart rate reserves of approximately 41  
428 and 32%, respectively [37], while jobs such as cable work in steep terrain [38-39] and cable rigging  
429 [40] may take more effort.

430 Work intensity was found to be light and moderate in most of the surveyed time (more than  
431 95%). Since ENMO values of up to 0.25 g are characterizing sedentary behaviors and light work such  
432 as standing still, dusting, sweeping the floor and self-paced walking [22], this intensity threshold was  
433 used to separate light intensity work in this study. In general, vigorous activity is considered to  
434 account for more than  $21 \text{ ml} \times \text{kg}^{-1} \times \text{min}^{-1} \text{VO}_2$  which roughly corresponds to accelerations corrected  
435 by the mean amplitude deviation of 0.45-0.5 g [23]. However, in this study, the intensity of work was  
436 considered to be moderate when ENMO had values from 0.25 to 1.0 g, by taking into account also the  
437 cardiovascular activity and the behavior or acceleration data in effective working events versus rest  
438 pauses. It should be mentioned that even in events such as the meal pauses, the subjects were not  
439 found to sit still all the time. Also, given the position in which the accelerometers were placed, the  
440 collected and analyzed data stands, in particular, for the activity of subjects' back. This data may be  
441 correlated also with that coming from postural analysis where the back was found to be straight in  
442 26% of the cases and bent, twisted or both in the rest of the cases.

443 In this regard, the manual cultivation operation seems to be a job that does not require  
444 immediate postural redesign since the postural risk indexes were found to be less than 200% in most  
445 of the cases. However, the main problem here is that related to the back postures assumed by subjects  
446 which were particularly uncomfortable. Working predominantly with the back bent and twisted or  
447 bent forward and sideways (56.5% of the cases) may lead to health problems related to the lower back  
448 which is a known issue of forest operations jobs [41]. From this point of view, manual cultivation is  
449 a job that is even more hazardous compared to manual harvesting operations from Nordic countries

450 [42] and close to that of motor-manual tree felling and processing operations from the area [15].  
451 Compared to other kind of forestry-related partly mechanized jobs such as firewood processing [28]  
452 debarking [43], manual cultivation seems to be riskier with the main problems coming from the back  
453 postures assumed during the work, since the arms and legs postures were found to be comfortable  
454 in most of the cases.

## 455 5. Conclusions

456 The main conclusion of this study is that the manual cultivation operations in poplar forests are  
457 rather difficult and hazardous, requiring reengineering tasks from ergonomic point of view. To  
458 overcome the effect of small efficiency rates found in this study, mechanization should be extended  
459 by approaching the operated plots on two perpendicular directions, limiting this way the manual job  
460 to approximately one quarter compared to actual practices. Obviously, this will reduce also the  
461 continuous physical effort by inter-placing movements from one tree to other, therefore it will lead  
462 to an increased use of bigger muscular groups and legs, that could help in attenuating the  
463 cardiovascular activity. By such measures, the intensity of manual work will be also decreased and  
464 the frequency of poor back postures will improve. Nevertheless, in such cases in which the approach  
465 of extending the mechanized operations is not feasible, a correct training of the workers, including a  
466 redesign of rest breaks could improve the status quo.

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469 resources, S.A.B., C.I.D. and F.I.; data curation, T.M.P., M.C., M.V.M.; writing—original draft preparation, S.A.B.  
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